

ADMIRAL INSURANCE COMPANY
 1255 Caldwell Road
 Cherry Hill, NJ 08034
 Phone: 856-429-9200 - Fax: 856-429-8611
 Internet: <http://www.admiralins.com>



Pro-iii
 Insurance Innovators Inc.
 Po Box 969, 130 S. Easton Rd.
 Glenside, PA 19038
 P-215-885-7300 F-215-886-2482
 Email: Sam@iiigroup.com

1. Name of Applicant: _____
 (If Partnership or corporation, show firm)
2. Address: _____
 Street City State Zip Code
3. Addresses of all Branch Offices: _____

4. Internet Address: _____
5. When was firm established: _____ / _____ / _____
 (Month) (Day) (Year)
6. Is firm: Sole Proprietorship Partnership Corporation Professional Corporation LLC
 Other: _____
7. Has the name of the firm been changed or has any other business been purchased or any merger or consolidation taken place? Yes No. If Yes, please give full details (including dates) by separate attachment:
8. Staff:

1.	Principals, Partners, Officers and Directors:	_____
2.	Architects, Landscape Architects	_____
3.	Land Surveyors, Engineers	_____
4.	Information Technology	_____
5.	Draftsmen, Programmers and other Technical Personnel	_____
6.	Clerical, Accounting, Non- Technical	_____
7.	Total Staff (1 + 2 + 3)	_____

By attachment please include resume of principles/officers/partners.
9. States in which a Professional License is held: _____
10. Foreign Work? Yes No If Yes, please give full details including which countries: _____

11. Have any of the Principals, Officers or Partners listed in item 7 ever been subject to disciplinary action by authorities as a result of their professional activities? Yes No If Yes, please give full details: _____

12. To what Professional Associations does the Applicant belong? _____

13. Does the Applicant or any subsidiary, parent or otherwise related entity engage in:

a. Actual construction, installation, erection or fabrication	<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Design/Build or Turnkey	<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Real estate Development	<input type="checkbox"/> Yes <input type="checkbox"/> No
d. The design development, manufacture, sale, lease or distribution of any product, process, or patented production process	<input type="checkbox"/> Yes <input type="checkbox"/> No
e. The development, sale or leasing of computer software or hardware to others	<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Construction Management Agency	<input type="checkbox"/> Yes <input type="checkbox"/> No
g. At- Risk Construction Management	<input type="checkbox"/> Yes <input type="checkbox"/> No
h. Project Management or Owners' Representative	<input type="checkbox"/> Yes <input type="checkbox"/> No
i. LEED Projects	<input type="checkbox"/> Yes <input type="checkbox"/> No _____ %

If Yes, please give details & project list –5 largest projects, by separate attachment.

14. Is the Applicant controlled, owned or associated with or does the Applicant own or control any other firm, corporation or company? Yes No If Yes, please give full details by separate attachment.
15. Does the Applicant provide professional services on projects in which any principal officer, director or shareholder or an immediate family member of such person retains any ownership interest? Yes No
If Yes, please attach a complete description of the project, specifically identify all individuals holding an ownership interest and the amount of ownership each holds.

16. Please indicate the percentage of the following disciplines or services in which the Applicant is engaged: (Total Must Equal 100%)

Acoustical Engineering	_____ %	Land Surveying	_____ %
Architecture	_____ %	Laboratory Testing	_____ %
Asbestos Inspection, Testing or Abatement Design	_____ %	LEED Consulting	_____ %
Chemical Engineering	_____ %	Machine/Equipment Design	_____ %
Civil Engineering	_____ %	Mechanical Engineering	_____ %
Communication Engineering	_____ %	Mining Engineering	_____ %
Construction/Project Management	_____ %	Naval/Marine Engineering	_____ %
Electrical Engineering	_____ %	Process Engineering	_____ %
Environmental Engineering	_____ %	Soil/Geotech Engineering	_____ %
HVAC Engineering	_____ %	Structural Engineering	_____ %
Interior Design	_____ %	Other (please specify)	_____ %
Landscape Architecture	_____ %	_____	_____ %
		_____	_____ %

17. Please indicate the approximate percentage of billings derived from the following types of services: (Total Must Equal 100%)

a. Feasibility studies, reports, surveys where applicant is not involved in design	_____ %
b. Design without supervisory services	_____ %
c. Design & Observation	_____ %
d. Construction/Project Management	_____ %
e. Construction observation without design	_____ %
f. Inspection services on existing structures	_____ %
g. Inspections of home/commercial properties for prospective buyers or lenders	_____ %
h. Manufacture, sale or distribution of any product or process	_____ %
i. Development, sale or leasing of computer software to others	_____ %
j. Other _____	_____ %

18. Please indicate the approximate percentage of billings derived from each project type: (Total Must Equal 100%)

Airport Runways/Taxiways	_____ %	Nuclear Facilities	_____ %
Amusement Rides	_____ %	Office Buildings	_____ %
Apartments	_____ %	Parking Structures	_____ %
Bridges	_____ %	Petrochemical/Refineries	_____ %
Churches	_____ %	Pools	_____ %
Condominiums	_____ %	Power Plants	_____ %
Convention Centers	_____ %	Roads/Highways	_____ %
Custom Residential	_____ %	Schools/Colleges	_____ %
Dams	_____ %	Sewage Systems	_____ %
Environmental Impact Statements	_____ %	Sewage Treatment Plants	_____ %
Foundation or Shoring Projects	_____ %	Shopping Centers/Retail	_____ %
Harbors/Piers/Ports	_____ %	Site Development	_____ %
Hospital/Healthcare	_____ %	Superfund/Pollution	_____ %
Hotels/Motels	_____ %	Tract Homes/Subdivisions	_____ %
Industrial Waste Treatment	_____ %	Traffic Planning	_____ %
Jails/Justice	_____ %	Tunnels	_____ %
Landfills	_____ %	Warehouses	_____ %
Libraries	_____ %	Water Systems	_____ %
Manufacturing/Industrial	_____ %	Water Treatment Plants	_____ %
Mass Transit	_____ %	Other _____	_____ %
Pulp/Paper/Lumber	_____ %	_____	_____ %

19. TYPES OF CLIENTS

Commercial	_____ %	Federal Government	_____ %	Real Estate Developers	_____ %
Contractors	_____ %	State Government	_____ %	Other	_____ %
Other Design Prof	_____ %	Local Government	_____ %		_____ %
Institutional	_____ %	Industrial	_____ %		

20. Does the Applicant foresee any substantial changes in the percentages of items 16-19 during the next twelve months?

Yes No If Yes, please give details:

21. Gross Billings and Construction Values -

IF FIRM IS DOING DESIGN/BUILD PLEASE LEAVE THIS QUESTION BLANK AND COMPLETE QUESTION 23

Dates:	Present 12 Months		Previous 12 Months
	From _____ To _____		From _____ To _____
Domestic Operations:	Total Gross Billings	Construction Values	Total Gross Billings
a. Joint Venture Projects (Applicant's Portion Only)	\$ _____	\$ _____	\$ _____
b. Projects Insured Under Separate Project Policies	\$ _____	\$ _____	\$ _____
c. Projects Which Have Been Permanently Abandoned	\$ _____	\$ _____	\$ _____
d. Feasibility Studies, Master Plans, Reports	\$ _____	\$ _____	\$ _____
e. Direct Reimbursables	\$ _____	\$ _____	\$ _____
f. All Other Billings	\$ _____	\$ _____	\$ _____
TOTAL GROSS BILLINGS	\$ _____	\$ _____	\$ _____

For a, b and c above, on a separate sheet please provide the name, location and current status of each project. If the Applicant is engaged in projects located outside the United States, its territories or Canada, please attach a description of such projects including gross billings as described above.

22. Estimates of the Applicant's Total Gross Billings and Construction Values for the next 12 months:

Gross Billings: \$ _____ Construction Values: \$ _____

23. DESIGN/BUILD - CONSTRUCT VALUES - COMPLETE ONLY IF FIRM IS DOING DESIGN/BUILD WORK

Dates:	Estimate for	This	Last
	Next Year	Year	Year
	From _____	From _____	From _____
	To _____	To _____	To _____
a. All Operations	\$ _____	\$ _____	\$ _____
b. Design/Construct	\$ _____	\$ _____	\$ _____
c. Design Only - No Construction	\$ _____	\$ _____	\$ _____
d. Construction Only - No Design	\$ _____	\$ _____	\$ _____

24. What percentage of the Applicant's practice involves any of the following:
- Subletting of work to others _____% Type of work sublet? _____
 - Is evidence of insurance from consultants required Yes No
 - What limit of liability is required? _____
 - Do subcontractors hold the applicant harmless by contract? Yes No **If Yes, attach a copy.**
25. Does any one contract or client represent more than 50% of annual work? Yes No **If Yes, please give details:**

26. Does applicant use written contracts or letters of agreement? Yes No And are these contracts or letters of agreement reviewed by your legal counsel prior to signing? Yes No
27. Does applicant use purchase order or service agreements? Yes No
28. Does applicant use AIA B801 contract for their Construction Management services? Yes No If no please advise what contract forms you do use: _____
 What % of the time do you use AIA B801 contract when providing Construction Management services? _____%
29. Has applicant or related entity, subsidiary or predecessor in interest ever filed for bankruptcy under chapter 7 or 11?
 Yes No **If Yes please advise details by separate attachment**
30. Does the Applicant work with other firms in Joint Ventures? Yes No
BASIC POLICY EXCLUDES COVERAGE FOR JOINT VENTURES.
 If coverage is desired, request Joint Venture Supplemental Application.
31. Does the Applicant perform asbestos abatement, lead paint abatement services or any other environmental remediation services?
 Yes No
32. If the Applicant has any direct or indirect responsibility for the design or re-design of HVAC systems, please comment on any engineering or administrative controls that are routinely employed to insure acceptable indoor air quality.

33. If the Applicant is involved in the selection of furnishings or building materials, comment on any controls or procedures that are employed to minimize the introduction of sources of chemical contamination into public buildings.

34. Please detail present Architects and Engineers Professional Liability Insurance Coverage.
- | Insurance Company | Policy Number | Limits | Deductible |
|-------------------|---------------|--------|------------|
| _____ | _____ | _____ | _____ |
- Expiring Premium: \$ _____ Expiration Date: _____
- Present Policy Retroactive Date: _____ (mm/dd/yy)**

35. Please detail Architects and Engineers Professional Liability Coverage for the FIVE YEARS prior to the present coverage.
- | Insurance Company | Policy Number | Limits | Deductible | Policy Period |
|-------------------|---------------|--------|------------|---------------|
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36. Date UNINTERRUPTED insurance began: _____
37. Is the Applicant currently insured under a Comprehensive General Liability and/or Umbrella Policy? Yes No
 If Yes, please give details:
- | Insurance Company | Type of Coverage | Limits | | Effective | |
|-------------------|------------------|--------|----|-----------|----|
| | | BI | PD | From | To |
| | | | | | |
| | | | | | |
38. Has any application for Architects and Engineers Professional Liability Insurance made on behalf of the firm, any predecessors in business or present Partners ever been declined or has the insurance ever been cancelled or renewal refused?
 Yes No If Yes, please give details: _____
39. Has any claim ever been made against the firm or any persons named in Item No. 1 or Item No. 8? Yes No
If Yes, please attach details stating:
 (1) date when claim was made
 (2) date the act giving rise to the claim was committed;
 (3) name of the claimant
 (4) nature of the claim
 (5) amount of alleged damages
 (6) amount of reserves if claim is open
 (7) final disposition (include paid indemnity amounts and expense amounts)
40. After inquiry, is the Applicant, any predecessors in business, or any other person for whom coverage is requested aware of any act, error, omission or circumstance which may possibly result in a claim being made against them? Yes No
If Yes, attach a statement giving full details.
41. In the past 10 years, has the applicant reported a claim for Bodily Injury or Property Damage under your CGL policy where payments or reserves, including your deductible, have exceeded \$100,000? Yes No
If Yes please provide details on a separate attachment – include claimant name/details of bodily injury or property damage/date the claim was reported to CGL carrier, total incurred amount (paid and reserved).
42. Does the applicant have any pending dispute concerning the payment of fees or for services rendered?
 Yes No If Yes please provide details on a separate attachment.
43. Does the applicant have any knowledge of any circumstance, incident, situation, accident, condition or unresolved job controversy or other matter which might give rise to a claim under this insurance?
 Yes No If Yes please provide details on a separate attachment.
44. Has the applicant given notice to any other Professional Liability carrier of any actual or alleged act, error, omission, deficiency, property damage or bodily injury, circumstance, incident, situation, accident, condition or unresolved job controversy or fee dispute which could result in a claim? Yes No
45. Has the applicant made any adjustments or goodwill payments in any dispute involving any services or products?
 Yes No If Yes please provide details on a separate attachment.

46. Has the applicant testified, provided expert testimony or given a deposition or statement in any dispute or proceedings where a claim has been made or suit filed against any party to the work or project where you provided any services or products?
 Yes No If Yes please provide details on a separate attachment.
47. Does the applicant have any pending disputes concerning the payment of fees to you for services or products rendered?
 Yes No If Yes please provide details on a separate attachment
48. Has the Applicant, any predecessors in business or any other person for whom coverage is requested ever reported a potential claim circumstance to a professional liability carrier? Yes No If Yes, attach a statement giving full details.
49. Coverage requested: Limit _____ Deductible _____
50. Does the Applicant have a Risk Management and Risk Control Program in place? Yes No
 a. Who is responsible for that Program? _____ Title _____

Please include the following information with this application:

- a. a list of the 10 largest jobs in the last five years.
 Detail: (1) project name; (2) type of structure; (3) services performed; and (4) construction values
- b. a copy of the firm's brochure
- c. a copy of the firm's latest income statement, annual report or 10-K
- d. a sample contract for LEED Projects

I/We warrant that the information contained herein is true and understand that the Application for Architects and Engineers Professional Liability Insurance shall be the basis for the contract of insurance should a policy be issued and that this Application together with any supplement will be attached to and become part of the policy issued.

NOTE: The insurance for which you are applying is written on a CLAIMS MADE POLICY. Only claims which are first made against you and reported to the company during the policy period are covered subject to policy provisions. "Claim" means any demand for money or services, including but not limited to the service of suit or the institution of arbitration proceedings against you.

The LIMITS OF LIABILITY stated in the Policy are reduced by CLAIM EXPENSES. CLAIM EXPENSES are also applied against your deductible or self insured retention, if applicable to the claim. If you have any questions about coverage, please discuss them with your insurance broker.

Date: _____ Signature: _____
 Title: _____
 (Owner, Partner, Authorized Officer)